#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(B)

Mail Stop Patent Application Attorney Docket No.: 29757/P-829 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Sir: Enclosed herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application of: Mark G. Meyer, Deborah Jonasson, Keith A. Jonasson and Arthur S. Inventors: Robb Title: LOTTERY GAME METHOD **Type of Application**  $\sqrt{\phantom{a}}$ This is an application for a:  $\sqrt{\phantom{a}}$ utility patent design patent

#### CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on July 2, 2003, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323774863US.

Emie Del Real

|       | 1         | Title  | page          |  |                                    |  |  |  |  |
|-------|-----------|--|---------------|--|------------------------------------|--|--|--|--|
|       | 30        | Pages of specification (excluding claims, abstract, drawings & sequence listing) |               |  |                                    |  |  |  |  |
|       | 10        | Pages of claims  |               |  |                                    |  |  |  |  |
|       | 1         | •  | act page      |  |                                    |  |  |  |  |
|       | 12        |  |               | gs (Figs. 1 to 15)   |                                    |  |  |  |  |
|       |           | $\square$  | Formal        | -8- (8   |                                    |  |  |  |  |
|       |           |  | Informal      |  |                                    |  |  |  |  |
| 3.    | Decla     | ration   | or Oath       |  |                                    |  |  |  |  |
|       | $\square$ | Enclo  | osed          |  |                                    |  |  |  |  |
|       |           | $\overline{\checkmark}$  | Executed      | l by inventors   |                                    |  |  |  |  |
|       |           |  | Executed      | by legal representative of inver   | ntor(s) (37 C.F.R. §1.42 or §1.43) |  |  |  |  |
|       |           | Not e  | enclosed      |  |                                    |  |  |  |  |
| 4.    | Incor     | poratio  | on By Refe    | rence  |                                    |  |  |  |  |
|       | ation as  | s indica   | ated in Para  | ation is a continuation, contagraph I above, the prior application applications are ference in its entirety in the prior applications. | ation identified in Paragraph      |  |  |  |  |
| 5.    | Prior     | ity Uno  | der 35 U.S.   | C. §119  |                                    |  |  |  |  |
| was f | below,    | and a c  | certified cop | claims priority under 35 U.S. by of each application: $\square$ is en from which the present application.                              | closed, □ will be filed later, □   |  |  |  |  |
|       | CO        | UNTRY  | Y             | APPLICATION NO.  | FILED                              |  |  |  |  |
|       |           |  |               |  |                                    |  |  |  |  |
| 1     |           |  |               |  |                                    |  |  |  |  |

**Application Papers Enclosed** 

2.

| 6.                                  | Additional Papers Enclosed |  |   |           |             |                            |       |  |  |  |  |
|-------------------------------------|----------------------------|--|---|-----------|-------------|----------------------------|-------|--|--|--|--|
|                                     |                            | Computer-readable copy of sequence listing containing nucleotide and/or amindo acid sequence |   |           |             |                            |       |  |  |  |  |
|                                     |                            | Declaration  | Declaration of biological deposit   |           |             |                            |       |  |  |  |  |
|                                     |                            | Microfiche   | Microfiche computer program   |           |             |                            |       |  |  |  |  |
|                                     |                            | Request no   | Request not to publish and certification under 35 U.S.C. §122(b)(2)(B)(i) |           |             |                            |       |  |  |  |  |
|                                     |                            | Informatio   | Information disclosure statement  |           |             |                            |       |  |  |  |  |
|                                     |                            | Assignme   | Assignment  |           |             |                            |       |  |  |  |  |
|                                     |                            | Return rec   | eipt postcard   |           |             |                            |       |  |  |  |  |
|                                     |                            | Other: A   | pplication D  | ata Sheet |             | <del></del>                |       |  |  |  |  |
| 7.                                  | Sma                        | all Entity Stat  |   |           |             |                            |       |  |  |  |  |
|                                     |                            | Small enti   | ty status is cl   | aimed.    |             |                            |       |  |  |  |  |
| 8.                                  | 8. Fee Calculation         |  |   |           |             |                            |       |  |  |  |  |
| <b>A.</b>                           | A.  Utility Application    |  |   |           |             |                            |       |  |  |  |  |
|                                     |                            | CLAIMS AS F  | ILED - INCLU  |           | INARY AMENI |                            |       |  |  |  |  |
|                                     |                            | ·  |   | SMALL     | ENTITY      | OTHER THAN SMALL<br>ENTITY |       |  |  |  |  |
|                                     |                            | NO. FILED  | NO.<br>EXTRA  | RATE      | FEE         | RATE                       | FEE   |  |  |  |  |
| BAS                                 | IC                         | ***************************************  |   |           | \$375       | -                          | \$750 |  |  |  |  |
| TOT                                 | AL                         | 42 -20   | = 22  | x 9 =     |             | x 18 =                     | \$396 |  |  |  |  |
| INDI                                | EP.                        | 5 - 3  | = 2   | x 42 =    |             | x 84 =                     | \$168 |  |  |  |  |
| First Clain                         |                            | tation of Multiple   | e Dependent   | + 140 =   |             | + 280 =                    |       |  |  |  |  |
| Filing Fee: OR                      |                            |  |   |           |             |                            |       |  |  |  |  |
| B. Design Application Filing Fee \$ |                            |  |   |           |             | \$                         |       |  |  |  |  |
| C.                                  |                            | Other Fee  | es  |           |             |                            |       |  |  |  |  |
|                                     |                            | Assignme   | nt recordation  | n fee     |             |                            | .\$   |  |  |  |  |
|                                     |                            |  |   |           |             |                            |       |  |  |  |  |

Total Fees:

\$<u>1314.00</u>

| 9. Method of Payment of F | ees |
|---------------------------|-----|
|---------------------------|-----|

| $\square$ | Enclosed is a check in the amount of:  | \$ <u>1314.00</u> |
|-----------|--|-------------------|
|           | Charge Deposit Account No. 13-2855 in the amount of: A copy of this transmittal is enclosed. | \$                |

### 10. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 C.F.R. §1.16 or 37 C.F.R. §1.17 to Deposit Account No. 13-2855. A copy of this transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

## 11. Correspondence Address

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: July 2, 2003

By:

Gregory E. Stanton Registration No. 45,127

6300 Sears Tower

233 South Wacker Drive

Chicago, Illinois 60606-6357

(312) 474-6300

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

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|   | Complete if Known    |                  |  |  |  |
|---|----------------------|------------------|--|--|--|
| FEE TRANSMITTAL                                       | Application Number   | Not Yet Assigned |  |  |  |
| for FY 2003   | Filing Date          | July 2, 2003     |  |  |  |
|   | First Named Inventor | Mark G. Meyer    |  |  |  |
| Patent fees are subject to annual revision.           | Examiner Name        | Not Yet Assigned |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27 | Group Art Unit       | N/A              |  |  |  |
|   |                      |                  |  |  |  |

| []  |       | Examiner Name                   |          |           |                                    | Not Yet Assigned                              |                         |          |
|---|-------|---------------------------------|----------|-----------|------------------------------------|---|-------------------------|----------|
| Applicant claims small entity status. See 37 CFR 1.27                       |       | Group Art Unit                  |          |           |                                    | N/A   |                         |          |
| TOTAL AMOUNT OF PAYMENT (\$) 1,314.00                                       |       | Attorney Docket No. 29757/P-829 |          |           |                                    |   |                         |          |
| METHOD OF PAYMENT (check all that apply)                                    |       |                                 |          | FEE       | CALCU                              | LATION (cor                                   | ntinued)                |          |
| X Check Credit Money Order Other None                                       | 3. A  | ADDITIO                         | DNAL     | FEES      |                                    |   |                         |          |
|   |       |                                 |          |           |                                    |   |                         |          |
| Deposit Account   | Large | e Entity                        | Small    | Entity    |                                    |   |                         |          |
| Deposit<br>Account 13-2855  | Fee   | Fee                             | Fee      | Fee       | •                                  | Fee Desci                                     | ription                 | Fee Paid |
| Number  | Code  | (\$)                            | Code     | (\$)      |                                    |   | •                       | ree Falo |
| Deposit Account MARSHALL, GERSTEIN &  | 1051  | 130                             | 2051     | 65        |                                    | e – late filing fee                           |                         |          |
| Name BORUN The Commissioner is hereby authorized to: (check all that apply) | 1052  | 50                              | 2052     | 25        | Surcharge sheet.                   | e – late provisio                             | nal filing fee or cover |          |
| Charge fee(s) indicated below X Credit any overpayments                     | 1053  | 130                             | 1053     |           |                                    | ish specification                             | ł                       |          |
| Charge any additional fee(s) during the pendency of this                    |       |                                 |          |           |                                    | •   | arte reexamination      |          |
| application   | 1812  |                                 | 1812     |           |                                    | request for ex page 19 publication of         |                         |          |
| Charge fee(s) indicated below, except for the filing fee                    | 1804  | 920*                            | 1804     | 920*      | Examiner                           | action  |                         |          |
| to the above-identified deposit account.                                    | 1805  | 1,840*                          | 1805     | 1,840*    |                                    | uesting publication of SIR after miner action |                         |          |
| FEE CALCULATION   | 1251  | 110                             | 2251     | 55        |                                    | ion for reply within first month              |                         |          |
| 1. BASIC FILING FEE   | 1252  | 410                             | 2252     | 205       | Extension                          | nsion for reply within second month           |                         |          |
| Large Entity Small Entity   | 1253  | 930                             | 2253     | 465       | Extension                          | for reply within                              | third month             |          |
| Fee Fee Fee Fee <u>Fee Description</u> Fee Paid                             | 1254  | 1,450                           | 2254     | 725       | Extension                          | for reply within                              | fourth month            |          |
| 1001 750 2001 375 Utility filing fee 750.00                                 | 1255  | 1,970                           | 2255     | 985       | Extension                          | for reply within                              | fifth month             |          |
| 1002 330 2002 165 Design filing fee   | 1401  | 320                             | 2401     | 160       | Notice of                          | Appeal  |                         |          |
| 1003 520 2003 260 Plant filing fee  | 1402  | 320                             | 2402     | 160       | Filing a br                        | ief in support of                             | f an appeal             | <b></b>  |
| 1004 750 2004 375 Reissue filing fee  | 1403  |                                 | 2403     |           | •                                  | or oral hearing                               |                         |          |
| 1005 160 2005 80 Provisional filing fee                                     | 1451  | ·                               | 1451     | ·         |                                    | •   | lic use proceeding      |          |
| SUBTOTAL (1) (\$) 750.00  | 1452  |                                 | 2452     | 55        |                                    | revive – unavo                                |                         | ļ        |
|   | 1453  | -                               | 2453     | 650       | Petition to revive - unintentional |   |                         |          |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  Extra Fee from                 | 1501  |                                 | 2501     |           | Utility issue fee (or reissue)     |   |                         |          |
| Claims below Fee Paid   | 1502  |                                 | 2502     | 235       | Design is:                         |   |                         |          |
| Total Claims 42 -20** = 22 x 18.00 = 396.00                                 | 1503  |                                 | 2503     | 315       | Plant issu                         |   |                         |          |
| Independent 5 -3** = 2 x 84.00 = 168.00                                     | 1460  | 130                             | 1460     | 130       | Petitions                          | to the Commiss                                | sioner                  |          |
| Multiple Dependent =  | 1807  | 50                              | 1807     | 50        | Processir                          | ng fee under 37                               | CFR 1.17(q)             |          |
| Large Entity Small Entity   | 1806  | 180                             | 1806     | 180       | Submissi                           | on of Informatio                              | n Disclosure Stmt       |          |
| Fee Fee Fee Fee Code (\$) Code (\$)   | 8021  | 40                              | 8021     | 40        |                                    | g each patent a<br>times number o             |                         |          |
| 1202 18 2202 9 Claims in excess of 20                                       | 1809  | 750                             | 2809     | 375       | Filing a si                        | ubmission after                               | • •                     |          |
| 1201 84 2201 42 Independent claims in excess of 3                           |       |                                 |          |           | (37 CFR                            | 1.129(a))<br>additional inver                 | ation to be             |          |
| 1203 280 2203 140 Multiple dependent claim, if not paid                     | 1810  | 750                             | 2810     | 375       |                                    | additional inver                              |                         |          |
| 1204 84 2204 42 ** Reissue independent claims                               | 1801  | 750                             | 2801     | 375       | •                                  |   | xamination (RCE)        |          |
| over original patent 1205 18 2205 9 ** Reissue claims in excess of 20       | 1802  | 900                             | 1802     | 900       |                                    | for expedited ex<br>an application            | camination              |          |
| and over original patent  | Other | r fee (spe                      | cify)    |           |                                    | · · · F.F.· · · · · · · · · · · · · · ·       |                         |          |
| SUBTOTAL (2) (\$) 564.00  |       | luced by                        |          | iling Fee | Paid                               | SUBTO   | TAL (3) (\$)            | 0.00     |
| **or number previously paid, if greater; For Reissues, see above            |       |                                 |          |           |                                    |   |                         |          |
| SUBMITTED BY  |       |                                 |          |           |                                    | Complete (                                    | if applicable)          |          |
| Name (Print/Type) Gregory E. Stanton  |       | stration N<br>ney/Agent         |          | ,127      |                                    | Telephone                                     | (312) 474-6300          | )        |
|   | TAHON | ie yr Ageill                    | <b>I</b> | -         |                                    | Date  | July 2, 2003            | <u> </u> |
| Signature   |       |                                 |          | <u>-</u>  |                                    | <b>Date</b>                                   | July 2, 2003            |          |

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| in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-     |   |
| 1450, on the date shown below.  |   |
|   |   |

Dated: July 2, 2003

| Signature: | En | D | LK | <u>\( \lambda \) \( \lambda \) \</u> |
|------------|----|---|----|--|
|            |    |   |    |  |

(Ernie Del Real)